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| Application No. | 10/620,985 |
| Filing Date: | July 16, 2003 |
| First Name of Inventor | Patrick Tibbits |
| Group Art Unit | 3602 |
| Examiner Name | Hannan |

Sheet

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of

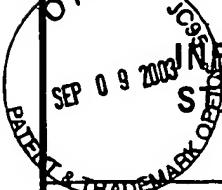
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Attorney Docket No.

01-828

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)



FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. 1 | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines Where Relevant Passages or Figures Appear | T ⁶ |
|--------------------|---------------|-------------------------|---------------------|--------------------------------------|---|--|--|----------------|
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

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|--------------------|---------------|---|----------------|
| Examiner Initials* | Cite No. 1 | Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
| THH | | QIU et al., "Preparation of Ni Nanoparticles and Evaluation of Their Tribological Performance as Potential Additives in Oils," <i>Journal of Tribology</i> , 123:441-443. (July 2001). | |
| THH | | CHINAS-CASTILLO et al., "The Behavior of Colloidal Solid Particles in Elastohydrodynamic Contacts©," <i>Tribology Transactions</i> , 43:387-394 (2000). | |
| THH | | Abstract of HANADA et al. "Characterization of diamond nanoclusters and applications to self-lubricating composites," <i>New Diamond and Frontier Carbon Technology</i> , pp.133-142. | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English translation is attached.

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| Substitute for form 1449A/PTO SEP 09 2003 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | |
| Sheet | 2 | of | 2 | Application No. | 10/620,985 |
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| | | | | First Named Inventor | Patrick Tibbits |
| | | | | Group Art Unit | 3682 |
| | | | | Examiner Name | Hannan |
| | | | | Attorney Docket No. | 01-828 |

| OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS | | | | |
|--|------------|---|--|--|
| Examiner Initials* | Cite No. 1 | Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | |
| <i>MH</i> | | CHINAS-CASTILLO <i>et al.</i> "Mechanism of Action of Colloidal Solid Dispersions," Transactions of the ASME, 125:552-557 (2003). <i>JVLY</i> | | |
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|--------------------|------------------|-----------------|----------------|
| Examiner Signature | <i>M. Hannan</i> | Date Considered | <i>3/28/05</i> |
|--------------------|------------------|-----------------|----------------|

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